防制洗錢及打擊資恐內部控制制度聲明書

Statement on Internal AML/CFT Control

謹代表大陸商交通銀行股份有限公司臺北分公司聲明本公司於西元二〇二〇年一月一日至西元二〇二〇年十二月三十一日確實遵循防制洗錢及打擊資恐相關法令，建立內部控制制度，實施風險管理，並由超然獨立之稽核部門執行查核，定期陳報總公司。經審慎評估，本年度各單位防制洗錢及打擊資恐內部控制及法規遵循情形，均能確實有效執行。

On behalf of Bank of Communications Taipei branch, we hereby undertake that from January 1, 2020 to December 31, 2020 our Company has duly complied with relevant regulations governing anti-money laundering and countering the financing of terrorism in establishing an internal control system, implementing risk management, designating an independent and objective internal unit to conduct audit, and submitting the audit report periodically to the Company's headquarter. Following prudent evaluation, each unit of the Company has effectively implemented internal controls for anti-money laundering and countering the financing of terrorism during the year.

謹 致

金融監督管理委員會

To： Financial Supervisory Commission

聲明人 Undersigned

在臺訴訟及非訴訟代理人：
Litigious/non-litigious agent in Taiwan _____（簽章）

總稽核／或負責臺灣區稽核業務之主管：
Auditor in charge of auditing in Taiwan _____（簽章）

防制洗錢及打擊資恐專責主管：
Chief AML/CFT Compliance Officer in Taiwan _____（簽章）

2021 年 3 月 31 日
Year Month Date